

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Vineet Kohli, Esq.

Vineet Kohli
Signature

2/4/00
Date

Attorney Docket No.: 49239.5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cochrum, Kent C. et al.

Serial No.: 09/438,872

Filed: November 12, 1999

For: Hemostatic Polymer Useful For Rapid Blood Coagulation and Hemostasis

Date: February 4, 2000

Group: Art Unit: 1615

Examiner: Not Assigned

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

Sir:

In response to the Notice of File Missing Parts of Application - Filing Date Granted, mailed December 23, 1999, submitted herewith is a copy of the Notice to File Missing Parts of Application - Filing Date Granted, an executed Inventor Declaration and our check in the amount of \$65.00 in payment of the surcharge.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account 07-1855.

Respectfully submitted,
Cochrum, Kent C. et al.

885 Third Avenue
21st Floor
New York, NY 10022
Telephone: 212-848-1065

By GRAHAM & JAMES LLP
Vineet Kohli
Per Vineet Kohli
Reg. No. 37,003



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

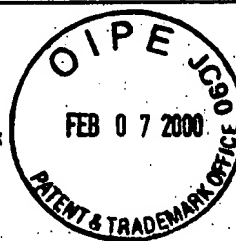
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/438,872 11/12/99 COCHRUM

K 49239.5

0242/1223



NOT ASSIGNED

VINEET KOHLI
GRAHAM & JAMES LLP
INTELLECTUAL PROPERTY GROUP
885 THIRD AVENUE
NEW YORK NY 10022

1615

DATE MAILED:

12/23/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is **\$65.00**.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.
\$_____ for _____ independent claims over 3.
\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Begina Fields

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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Vineet Kohli, Esq.

Vineet Kohli
Signature

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Date

Attorney Docket No.:49239.5

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In re Application of:

Cochrum, Kent C. et al.

Serial No.: 09/438,872

Filed: November 12, 1999

For: Hemostatic Polymer Useful For Rapid Blood Coagulation and Hemostasis

Date: February 4, 2000

Group: Art Unit: 1615

Examiner: Not Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request that the Assistant Commissioner issue a new, Corrected Filing Receipt in view of the error contained in the Original Filing Receipt. A copy of the Original Filing Receipt is attached hereto.

In the section marked CONTINUING DATA AS CLAIMED BY APPLICANT-, it should read:

CONTINUING DATA AS CLAIMED BY APPLICANT-

Application Serial No. 09/290,846	4/13/99
Provisional Application No. 60/108,185	11/12/98

Applicants respectfully request that the Assistant Commissioner issue a new Filing Receipt which contains the corrected information listed above.

Respectfully submitted,
Cochrum, Kent C. et al.
By GRAHAM & JAMES LLP

885 Third Avenue
21st Floor
New York, NY 10022
Telephone: 212-848-1065

Vineet Kohli
Per Vineet Kohli
Reg. No. 37,003



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

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Bib Data Sheet

CONFIRMATION NO. 9965

SERIAL NUMBER 09/438,872	FILING DATE 11/12/1999 RULE	CLASS 424	GROUP ART UNIT 1651	ATTORNEY DOCKET NO. 49239.5
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APPLICANTS

KENT C. COCHRUM, DAVIS, CA;
ROBERT A. GUNTHER, DAVIS, CA;
SUSAN A. JEMTRUD, SAN FRANCISCO, CA;
FRANKLIN M. BENINSIG, SACRAMENTO, CA;FILE COPY
RECEIVED

** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/108,185 11/12/1998

CIP 09/290846 4/13/99

** FOREIGN APPLICATIONS *****

AUG 17 2001

TECH CENTER 1600/2900

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **

** 12/17/1999

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 10	TOTAL CLAIMS 44	INDEPENDENT CLAIMS 5	
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance					
Verified and Acknowledged	Examiner's Signature	Initials			

ADDRESS

VINEET KOHLI
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INTELLECTUAL PROPERTY GROUP
885 THIRD AVENUE
NEW YORK, NY 10022

TITLE

HEMOSTATIC POLYMER USEFUL FOR RAPID BLOOD COAGULATION AND HEMOSTASIS

FILING FEE RECEIVED 948	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

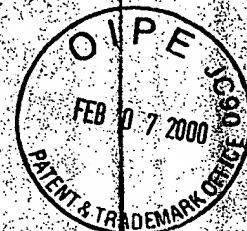
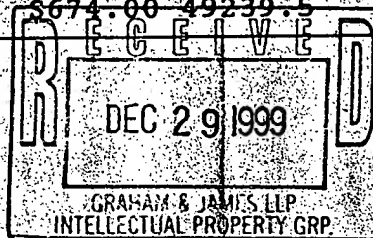
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/438,872	11/12/99	1615	\$674.00 49239.5		10	44	5

VINEET KOHLI
GRAHAM & JAMES LLP
INTELLECTUAL PROPERTY GROUP
885 THIRD AVENUE
NEW YORK NY 10022



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) KENT C. COCHRUM, DAVIS, CA; ROBERT A. GUNTHER, DAVIS, CA; SUSAN A. JEMTRUD, SAN FRANCISCO, CA; FRANKLIN M. BENINSIG, SACRAMENTO, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-
PROVISIONAL APPLICATION NO. 60/108,185 11/12/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/17/99 ** SMALL ENTITY **
TITLE
HEMOSTATIC POLYMER USEFUL FOR RAPID BLOOD COAGULATION AND HEMOSTASIS
PRELIMINARY CLASS: 424

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DATA ENTRY BY: PERRY, REGINA

TEAM: 02 DATE: 12/17/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

(See reverse for new important information)